



IFW

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: : Confirmation No: 8834  
Joachim SCHMITT, et al. : Group Art Unit: 1615  
Serial No.: 10/538,840 : Examiner: Not Assigned  
Filed: March 1, 2006 :  
For: TRANS-SIALIDASES OBTAINED FROM *TRYPANOSOMA CONGOENSE*

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants note that the Official Filing Receipt, copy enclosed, in the above-identified application contains the following error.

Specifically, the Assignee information is incorrect. It should be **N.V. Nutricia, HM Zoetermeer H.M., Netherlands**, not Renishaw PLC, Gloucestershire, United Kingdom.

Attached are copies of the Assignment and Application Data Sheet (ADS) as filed with the application with the Assignee highlighted. The error therefore is believed to be chargeable to the USPTO and no fee is required. However, if any fees are deemed necessary, they may be charged to Deposit Account #02-0200. A duplicate of this request is enclosed.

Accordingly, it is respectfully requested that the USPTO data base for this application be corrected and a CORRECTED Filing Receipt be issued for this application and forwarded to the undersigned as soon as possible.

Respectfully submitted,  
BACON & THOMAS, PLLC

By: Richard E. Fichter  
Richard E. Fichter  
Registration No. 26,382

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REF:cjw

Correction to OFR.wpd  
October 3, 2006



OCT 04 2006  
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/538,840	03/01/2006	1615	1430	SCHM3001/REF	3	20	5

**CONFIRMATION NO. 8834**

23364  
BACON & THOMAS, PLLC  
625 SLATERS LANE  
FOURTH FLOOR  
ALEXANDRIA, VA 22314

**FILING RECEIPT**



\*OC000000020575660\*

Date Mailed: 09/29/2006

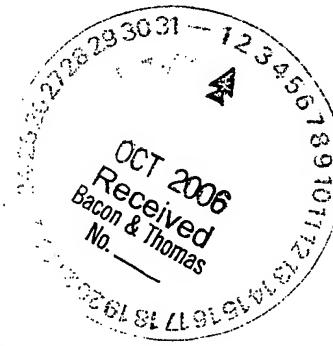
Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Joachim Schmitt, Hosbach, GERMANY;  
Gunter Boehm, Echzell, GERMANY;  
Bernd Stahl, Rosbach, GERMANY;  
Roland Schauer, Altenholz, GERMANY;  
Evelin Tiralongo, Neubrandenburg, GERMANY;  
Silke Schrader, Kiel, GERMANY;

**Assignment For Published Patent Application**

RENISHAW PLC, GLOUCESTERSHIRE, UNITED KINGDOM



**Power of Attorney:** The patent practitioners associated with Customer Number 23364.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP03/14079 12/11/2003

**Foreign Applications**

GERMANY 102 58 400.1 12/13/2002

If Required, Foreign Filing License Granted: 09/25/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/538,840**

Projected Publication Date: 01/04/2007

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Trans-sialidases obtained from trypanosoma congolense

**Preliminary Class**

514

## **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## ASSIGNMENT

WHEREAS, I, Joachim SCHMITT, Gunter BOEHM, Bernd STAHL, Roland SCHAUER, Evelin TIRALONGO and Silke SCHRADER, whose post office addresses appear below, hereinafter referred to as ASSIGNOR, have invented certain new and useful improvements in **TRANS-SIALIDASES OBTAINED FROM TRYPAROSOMA CONGOENSE**, (hereinafter referred to as the INVENTION) for which an application (PCT/EP03/14079) for United States Letters Patent was executed on even date herewith.

WHEREAS, N.V. NUTRICIA, whose post office address is **Eerste Stationsstraat 186, NL-2712 HM Zoetermeer H.M.** Netherlands, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to the same in the United States;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, we, ASSIGNOR, by these presents do sell, assign and transfer unto said ASSIGNEE, the entire right, title, and interest in and to said INVENTION and application throughout the United States of America, including any and all Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application.

ALSO, ASSIGNOR hereby agrees to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) in the United States for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNOR also agrees, without further consideration and at ASSIGNEE's expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNOR'S possession or control, and to provide further assurances and testimony on behalf of A SSIGNEE that lawfully may be required of ASSIGNOR in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNOR'S obligations under this instrument shall extend to ASSIGNOR'S heirs, executors, administrators and other legal representatives.

ALSO, ASSIGNOR hereby authorizes and requests the Commissioner of Patents and Trademarks to issue any and all Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNOR had this assignment and sale not been made.

ASSIGNOR authorizes any member of the firm of **Bacon & Thomas** to insert or complete any information in this document needed to effect its recordal in the U.S. Patent and Trademark Office.

ASSIGNMENT  
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Page Two

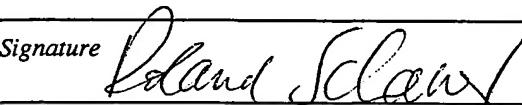
Assignor Name <b>Joachim SCHMITT</b>	Address Aschaffstr. 10 63768 Hosbach, Germany
Where Signed	
Date <b>2. 06. 2005</b>	Signature
Witness (optional)	Witness (optional)
Print/Type Name	Print/Type Name
Signature	Signature

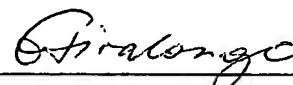
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Signature	Signature

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Continued on next page....

ASSIGNMENT  
Utility - Sole/Joint - U.S.  
Page Three

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Assignor Name Silke SCHRADER	Address Grodeweg 17 24107 Kiel, Germany
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Date 27.06.2005	Signature 
Witness (optional)	Witness (optional)
Print/Type Name	Print/Type Name
Signature	Signature



Application Data Sheet  
Application Information

Application type:: National Stage  
Subject matter:: Utility  
CD-ROM or CD-R:: None  
Number of CD disks:: 0  
Number of copies of CDs:: 0  
Sequence submission?:: Yes  
Computer readable form (CRF)?:: Yes  
Number of copies of CRF:: 1  
Title:: TRANS-SIALIDASES OBTAINED  
FROM *TRYPANOSOMA CONGOENSE*  
Attorney docket number:: SCHM3001/REF  
Request for early publication?:: No  
Request for non-publication?:: No  
Suggested drawing figure::  
Total drawing sheets:: 3  
Small entity?:: No

Applicant Information

Applicant authority type:: Inventor  
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Applicant Information

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Applicant Information

Applicant authority type:: Inventor

Primary citizenship country:: Germany  
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#### Representative Information

Representative customer number:: 23364

#### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This application	National Stage of	PCT/EP03/14079	11/12/2003

**Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority claimed::
Germany	102 58 400.1	12/12/2002	Yes

**Assignee Information**

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